

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: LAWRENCE

Application No.: 10/801,238

Filing Date: 3/15/2004

For: PUBLIC OFFERING RISK
MANAGEMENT

) Confirmation No. 2021
)

) Group Art Unit: 4172
)

) Examiner: William E. Rankins
)

) **AMENDMENT and RESPONSE** to
) October 15, 2007 Non-Final Office Action
)

) Docket No.: G08.147/U
)

) **PTO Customer Number 28062**
) Buckley, Maschoff & Talwalkar LLC
) 50 Locust Avenue
) New Canaan, CT 06840
)

Mail Stop Amendment (via EFS)
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In response to the Non-Final Office Action mailed October 15, 2007, please amend the above-identified application as follows.

Amendments to the claims are reflected in the listing of claims which begins on page 2 of this paper.

Remarks begin on page 5 of this paper.